

Carnegie Mellon University

ADVANCED DATABASE SYSTEMS

Optimizer Implementation
(Part II)

@Andy_Pavlo // 15-721 // Spring 2018

Lecture #16

DATABASE TALK

Striim Streaming Platform

- Today @ 4:30pm
- GHC 8102



<http://db.cs.cmu.edu/events/db-seminar-spring-2018-alok-pareek-striim/>

TODAY'S AGENDA

Cascades / Columbia

Orca Optimizer

MemSQL Optimizer

Extra Credit Assignment



QUERY OPTIMIZATION STRATEGIES

Choice #1: Heuristics

→ INGRES, Oracle (until mid 1990s)

Choice #2: Heuristics + Cost-based Join Search

→ System R, early IBM DB2, most open-source DBMSs

Choice #3: Randomized Search

→ Academics in the 1980s, current Postgres

Choice #4: Stratified Search

→ IBM's STARBURST (late 1980s), now IBM DB2 + Oracle

Choice #5: Unified Search

→ Volcano/Cascades in 1990s, now MSSQL + Greenplum

POSTGRES OPTIMIZER

Imposes a rigid workflow for query optimization:

- First stage performs initial rewriting with heuristics
- It then executes a cost-based search to find optimal join ordering.
- Everything else is treated as an “add-on”.
- Then recursively descends into sub-queries.

Difficult to modify or extend because the ordering has to be preserved.

OPTIMIZER GENERATORS

Framework to allow a DBMS implementer to write the declarative rules for optimizing queries.

- Separate the search strategy from the data model.
- Separate the transformation rules and logical operators from physical rules and physical operators.

Implementation can be independent of the optimizer's search strategy.

Examples: Starburst, Exodus, Volcano, Cascades, OPT++

STRATIFIED SEARCH

First rewrite the logical query plan using transformation rules.

- The engine checks whether the transformation is allowed before it can be applied.
- Cost is never considered in this step.

Then perform a cost-based search to map the logical plan to a physical plan.

UNIFIED SEARCH

Unify the notion of both logical \rightarrow logical and logical \rightarrow physical transformations.

\rightarrow No need for separate stages because everything is transformations.

This approach generates a lot more transformations so it makes heavy use of memoization to reduce redundant work.



TOP-DOWN VS. BOTTOM-UP

Top-down Optimization

- Start with the final outcome that you want, and then work down the tree to find the optimal plan that gets you to that goal.
- Example: Volcano, Cascades

Bottom-up Optimization

- Start with nothing and then build up the plan to get to the final outcome that you want.
- Examples: System R, Starburst



CASCADES OPTIMIZER

Object-oriented implementation of the Volcano query optimizer.

Simplistic expression re-writing can be through a direct mapping function rather than an exhaustive search.



Graefe



THE CASCADES FRAMEWORK FOR QUERY
OPTIMIZATION
IEEE Data Engineering Bulletin 1995

CASCADES OPTIMIZER

Optimization tasks as data structures.

Rules to place property enforcers.

Ordering of moves by promise.

Predicates as logical/physical operators.



EFFICIENCY IN THE COLUMBIA DATABASE
QUERY OPTIMIZER
Portland State University MS Thesis 1998

CASCADES – EXPRESSIONS

A expression is an operator with zero or more input expressions.

Logical Expression: $(A \bowtie B) \bowtie C$

Physical Expression: $(A_F \bowtie_{HJ} B_F) \bowtie_{NLJ} C_F$

CASCADES – GROUPS

A **group** is a set of logically equivalent logical and physical expressions that produce the same output.

- All logical forms of an expression
- All physical expressions that can be derived from selecting the allowable physical operators for the corresponding logical forms.

Output: [ABC]	Logical Exps	Physical Exps
	1. $(A \bowtie B) \bowtie C$ 2. $(B \bowtie C) \bowtie A$ 3. $(A \bowtie C) \bowtie B$ 4. $A \bowtie (B \bowtie C)$ ⋮	1. $(A_F \bowtie_L B_F) \bowtie_L C_F$ 2. $(B_F \bowtie_L C_F) \bowtie_L A_F$ 3. $(A_F \bowtie_L C_F) \bowtie_L B_F$ 4. $A_F \bowtie_L (C_F \bowtie_L B_F)$ ⋮

CASCADES – GROUPS

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Group

	Logical Exps	Physical Exps
Output: [ABC]	1. $(A \bowtie B) \bowtie C$	1. $(A_F \bowtie_L B_F) \bowtie_L C_F$
	2. $(B \bowtie C) \bowtie A$	2. $(B_F \bowtie_L C_F) \bowtie_L A_F$
	3. $(A \bowtie C) \bowtie B$	3. $(A_F \bowtie_L C_F) \bowtie_L B_F$
	4. $A \bowtie (B \bowtie C)$	4. $A_F \bowtie_L (C_F \bowtie_L B_F)$
	⋮	⋮

CASCADES – GROUPS

A **group** is a set of logically equivalent logical and physical expressions that produce the same output.

- All logical forms of an expression
- All physical expressions that can be derived from selecting the allowable physical operators for the corresponding logical forms.

<i>Group</i>	Output: [ABC]	Logical Exps	Physical Exps	<i>Equivalent Expressions</i>
		1. $(A \bowtie B) \bowtie C$ 2. $(B \bowtie C) \bowtie A$ 3. $(A \bowtie C) \bowtie B$ 4. $A \bowtie (B \bowtie C)$ ⋮	1. $(A_F \bowtie_L B_F) \bowtie_L C_F$ 2. $(B_F \bowtie_L C_F) \bowtie_L A_F$ 3. $(A_F \bowtie_L C_F) \bowtie_L B_F$ 4. $A_F \bowtie_L (C_F \bowtie_L B_F)$ ⋮	

CASCADES – MULTI-EXPRESSION

Instead of explicitly instantiating all possible expressions in a group, the optimizer implicitly represents redundant expressions in a group as a **multi-expression**.

→ This reduces the number of transformations, storage overhead, and repeated cost estimations.

Output:	Logical Multi-Exps	Physical Multi-Exps
	[ABC]	
	1. $[AB] \bowtie [C]$	1. $[AB] \bowtie_L [C]$
	2. $[BC] \bowtie [A]$	2. $[BC] \bowtie_L [A]$
	3. $[AC] \bowtie [B]$	3. $[AC] \bowtie_L [B]$
	4. $[A] \bowtie [BC]$	4. $[A] \bowtie_L [CB]$
	⋮	⋮

CASCADES – RULES

A **rule** is a transformation of an expression to a logically equivalent expression.

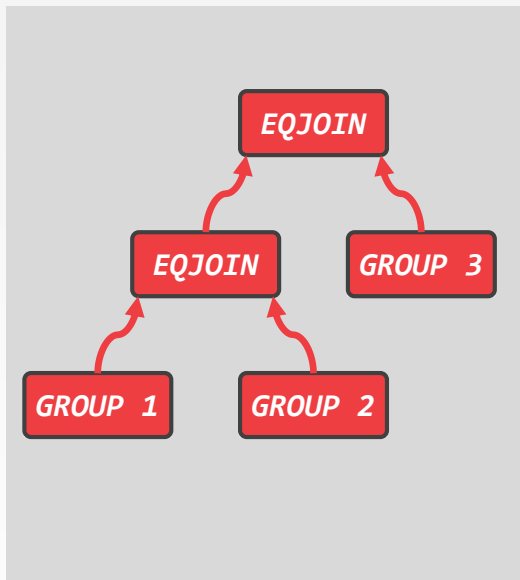
- **Transformation Rule:** Logical to Logical
- **Implementation Rule:** Logical to Physical

Each rule is represented as a pair of attributes:

- **Pattern**: Defines the structure of the logical expression that can be applied to the rule.
- **Substitute**: Defines the structure of the result after applying the rule.

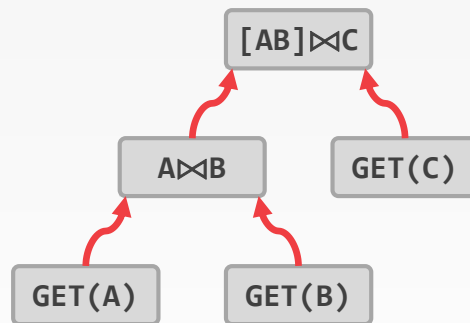
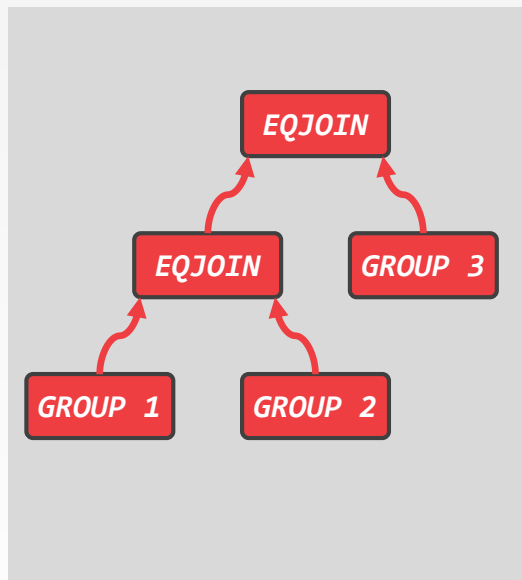
CASCADES – RULES

Pattern



CASCADES – RULES

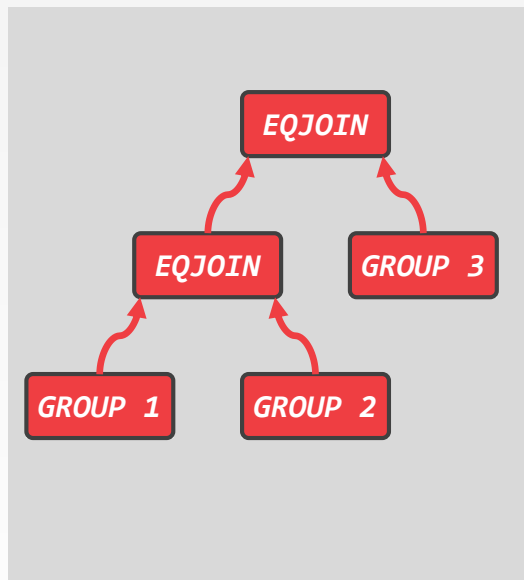
Pattern



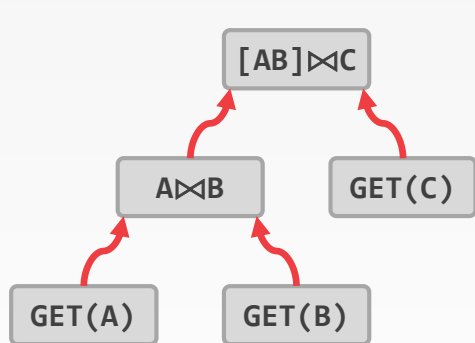
Matching Plan

CASCADES – RULES

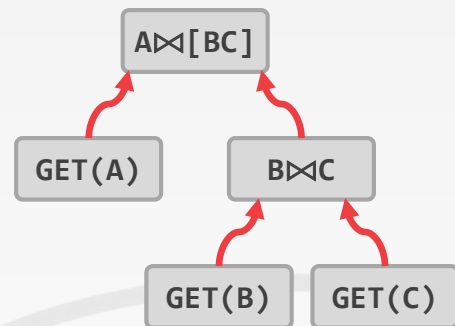
Pattern



Transformation Rule
Rotate Left-to-Right

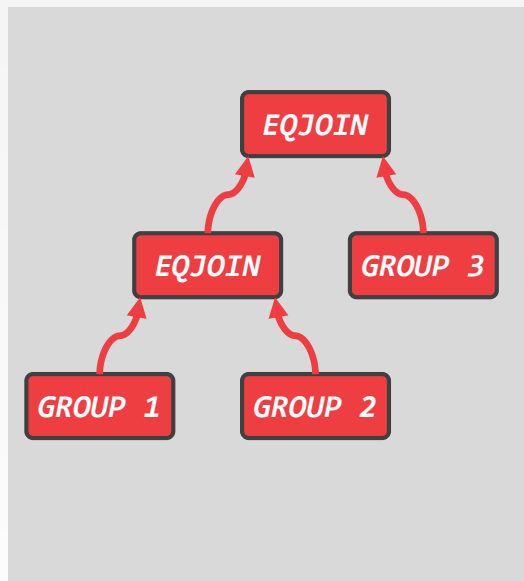


Matching Plan

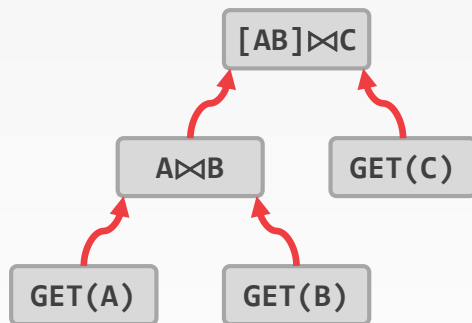


CASCADES – RULES

Pattern

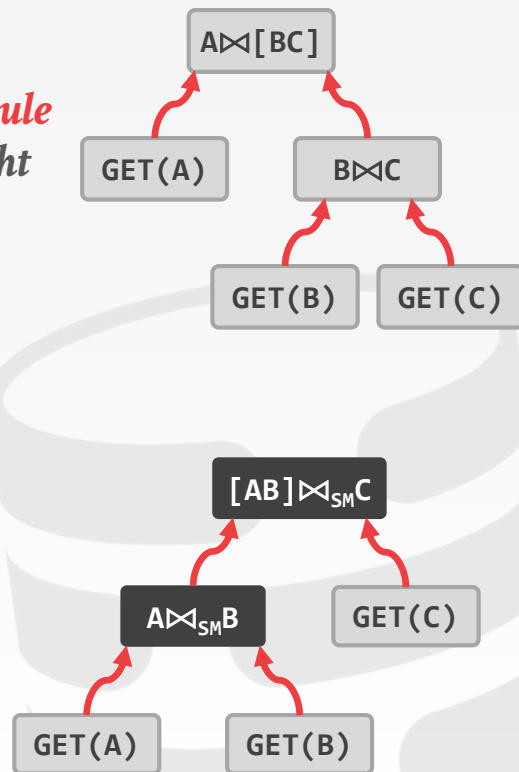


Transformation Rule
Rotate Left-to-Right



Matching Plan

Implementation Rule
EQJOIN \rightarrow SORTMERGE



CASCADES – MEMO TABLE

Stores all previously explored alternatives in a compact graph structure.

Equivalent operator trees and their corresponding plans are stored together in groups.

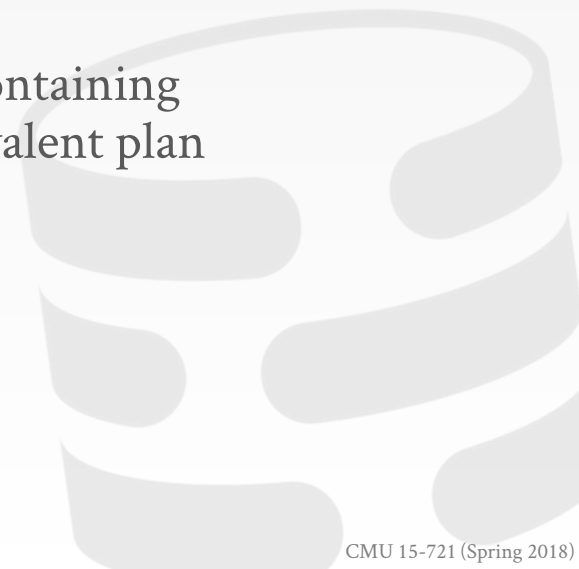
Provides memoization, duplicate detection, and property + cost management.

PRINCIPLE OF OPTIMALITY

Every sub-plan of an optimal plan is itself optimal.

This allows the optimizer to restrict the search space to a smaller set of expressions.

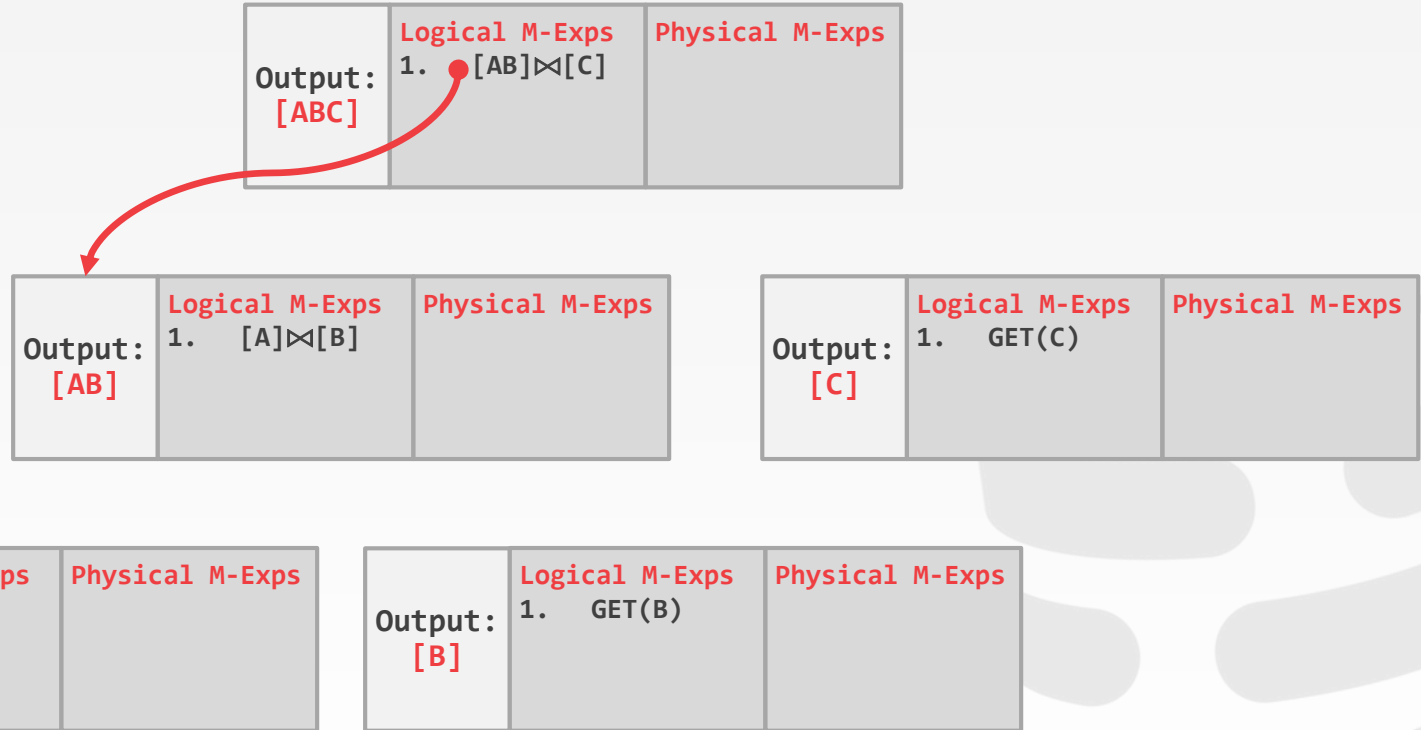
→ The optimizer never has to consider a plan containing sub-plan **P1** that has a greater cost than equivalent plan **P2** with the same physical properties.



EXPLOITING UPPER AND LOWER BOUNDS IN
TOP-DOWN QUERY OPTIMIZATION
IDEAS 2001

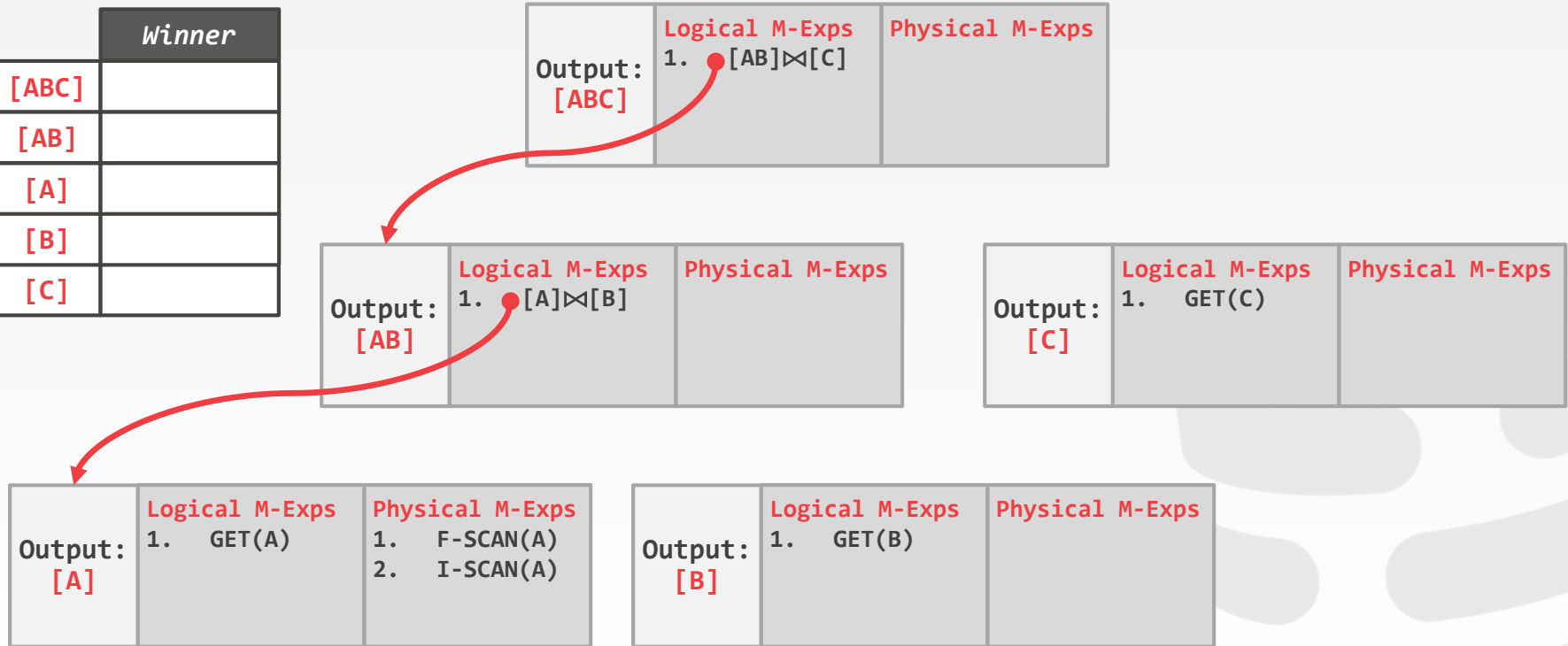
CASCADES – MEMO TABLE

	Winner
[ABC]	
[AB]	
[A]	
[B]	
[C]	



CASCADES – MEMO TABLE

	Winner
[ABC]	
[AB]	
[A]	
[B]	
[C]	



CASCADES – MEMO TABLE

	Winner
[ABC]	
[AB]	
[A]	F-SCAN(A)
[B]	
[C]	

	Logical M-Exps	Physical M-Exps
Output: [ABC]	1. [AB] ⋈ [C]	

	Logical M-Exps	Physical M-Exps
Output: [AB]	1. [A] ⋈ [B]	

	Logical M-Exps	Physical M-Exps
Output: [C]	1. GET(C)	

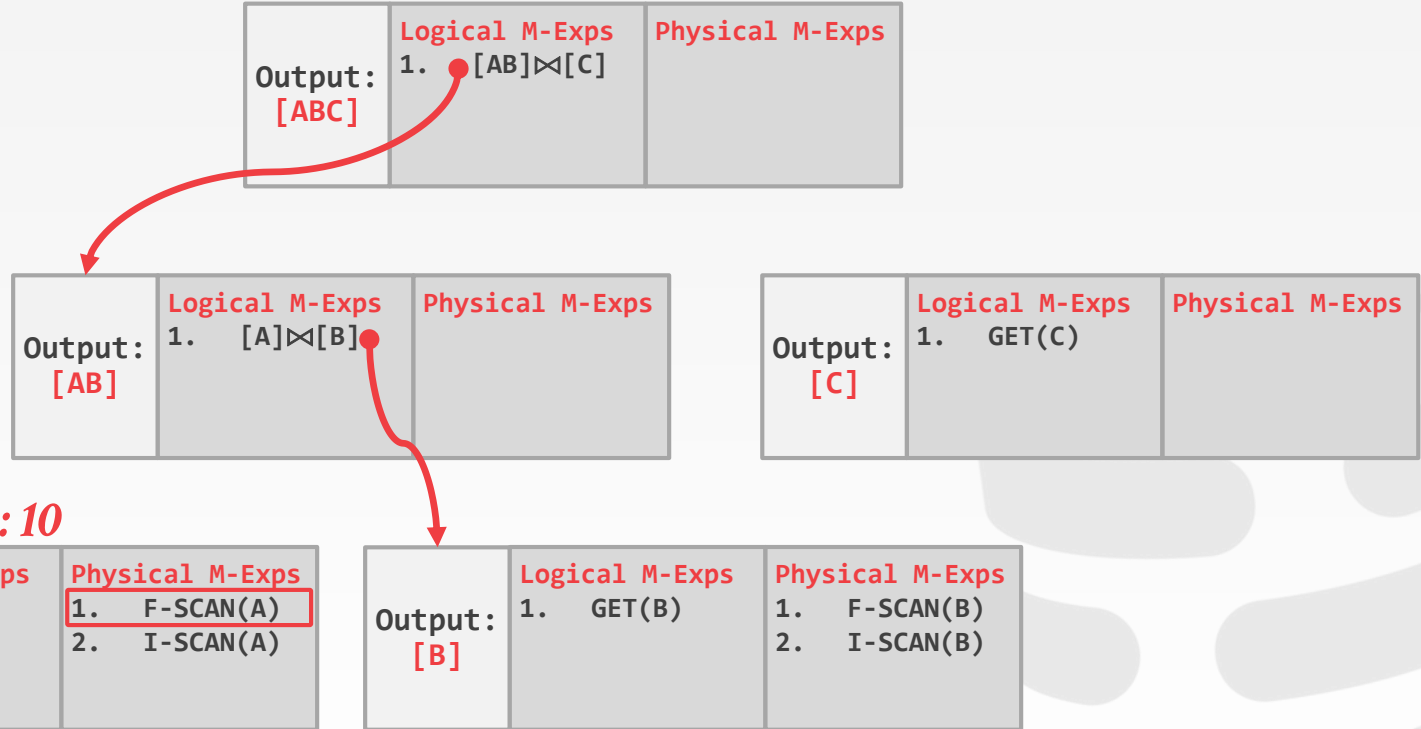
Cost: 10

	Logical M-Exps	Physical M-Exps
Output: [A]	1. GET(A)	1. F-SCAN(A) 2. I-SCAN(A)

	Logical M-Exps	Physical M-Exps
Output: [B]	1. GET(B)	

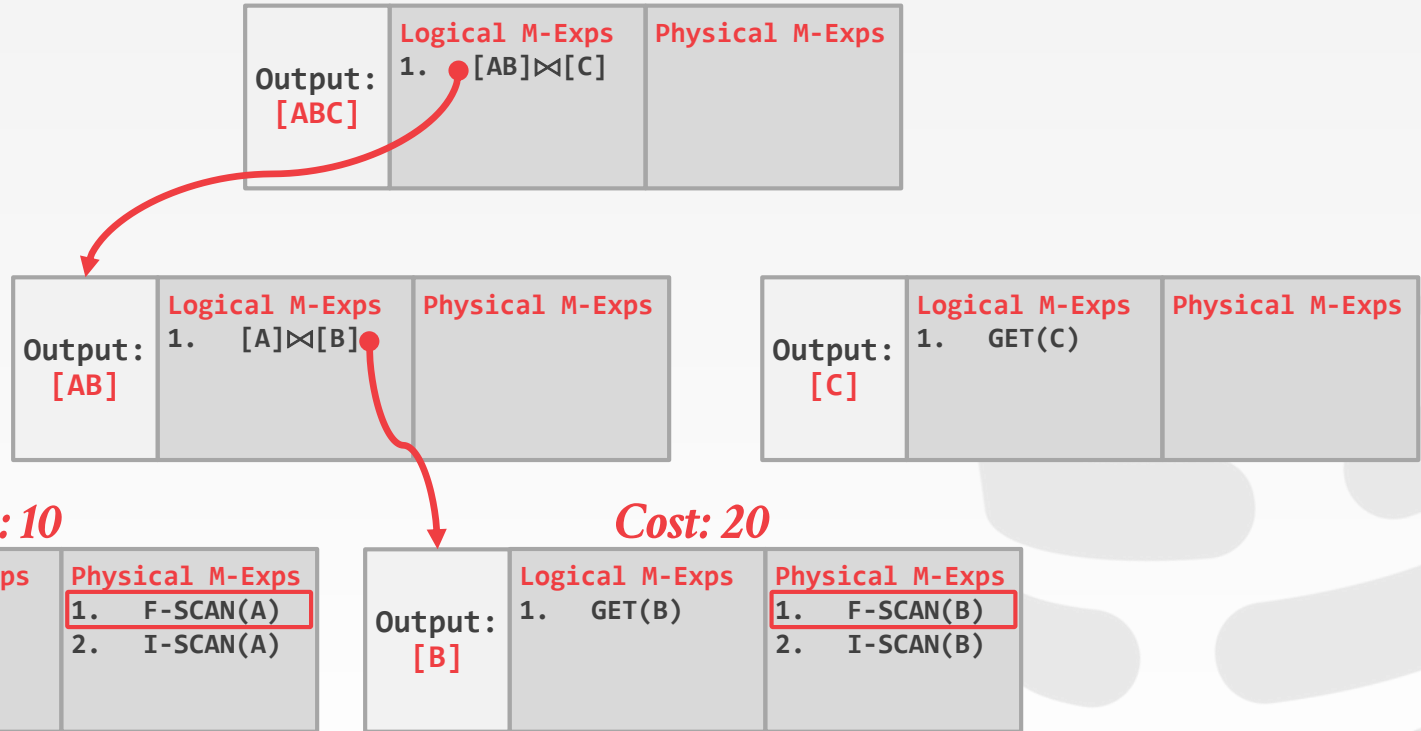
CASCADES – MEMO TABLE

	Winner
[ABC]	
[AB]	
[A]	F-SCAN(A)
[B]	
[C]	



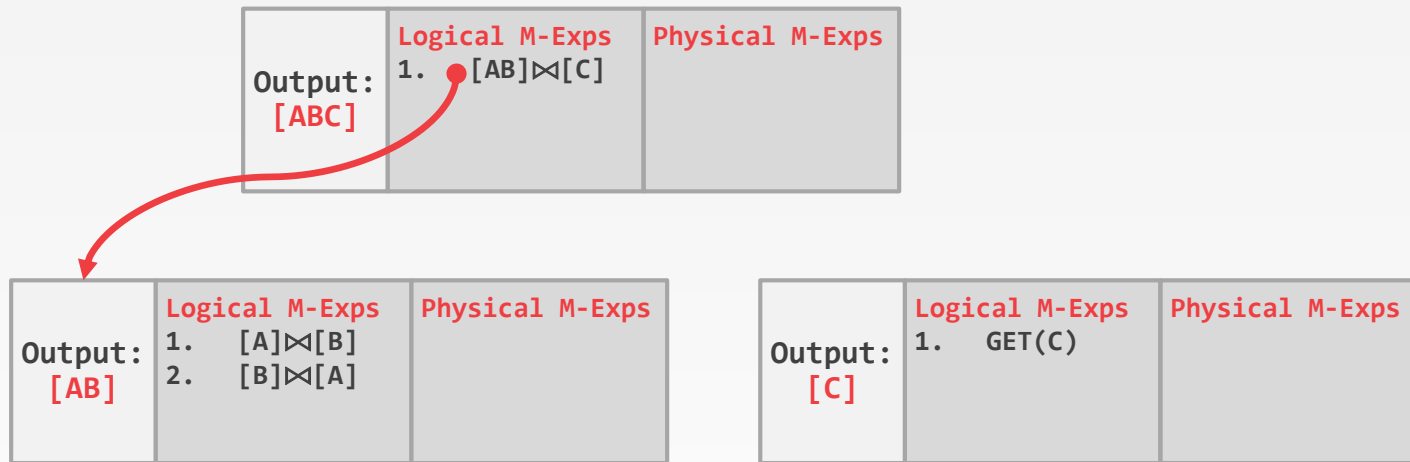
CASCADES – MEMO TABLE

	Winner
[ABC]	
[AB]	
[A]	F-SCAN(A)
[B]	F-SCAN(B)
[C]	



CASCADES – MEMO TABLE

	Winner
[ABC]	
[AB]	
[A]	F-SCAN(A)
[B]	F-SCAN(B)
[C]	



Cost: 10

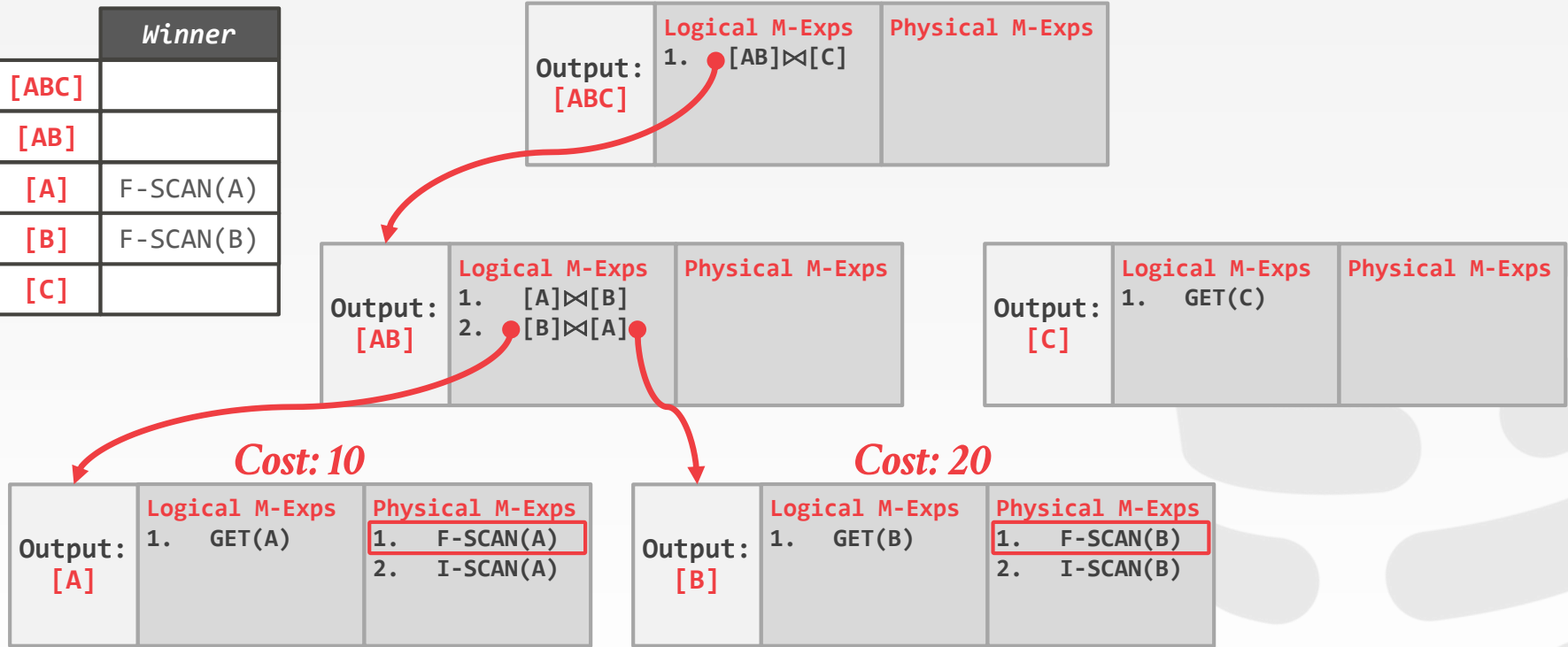
	Logical M-Exps	Physical M-Exps
Output: [A]	1. GET(A)	1. F-SCAN(A) 2. I-SCAN(A)

Cost: 20

	Logical M-Exps	Physical M-Exps
Output: [B]	1. GET(B)	1. F-SCAN(B) 2. I-SCAN(B)

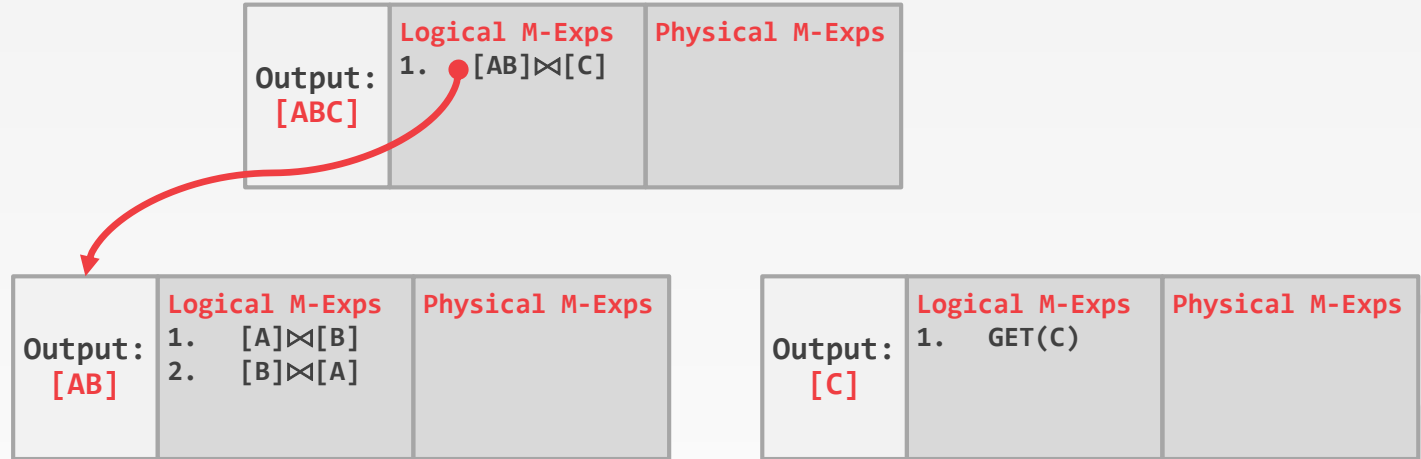
CASCADES – MEMO TABLE

	Winner
[ABC]	
[AB]	
[A]	F-SCAN(A)
[B]	F-SCAN(B)
[C]	



CASCADES – MEMO TABLE

	Winner
[ABC]	
[AB]	
[A]	F-SCAN(A)
[B]	F-SCAN(B)
[C]	



Cost: 10

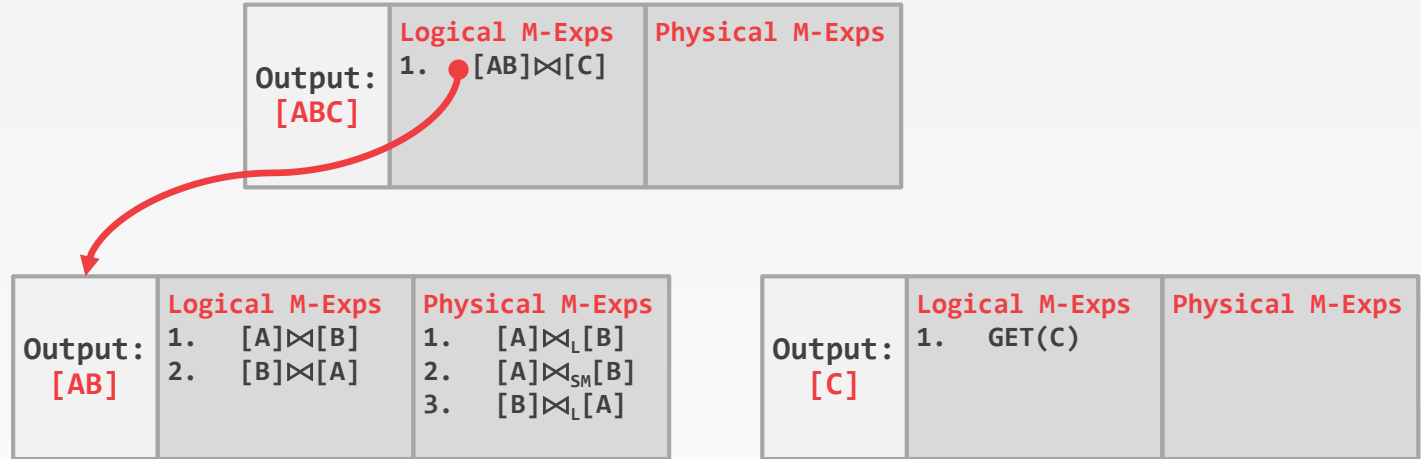
	Logical M-Exps	Physical M-Exps
Output: [A]	1. GET(A)	1. F-SCAN(A) 2. I-SCAN(A)

Cost: 20

	Logical M-Exps	Physical M-Exps
Output: [B]	1. GET(B)	1. F-SCAN(B) 2. I-SCAN(B)

CASCADES – MEMO TABLE

	Winner
[ABC]	
[AB]	
[A]	F-SCAN(A)
[B]	F-SCAN(B)
[C]	



Cost: 10

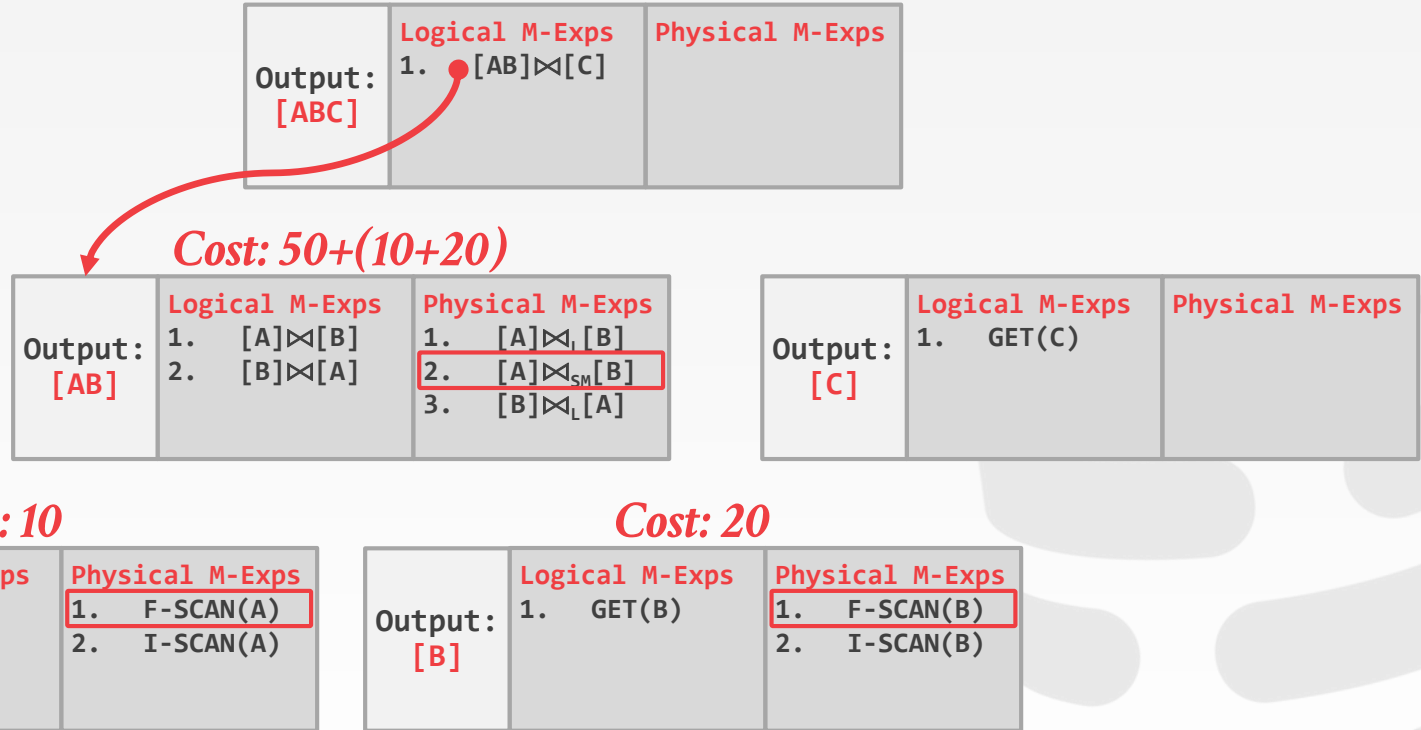
	Logical M-Exps	Physical M-Exps
Output: [A]	1. GET(A)	1. F-SCAN(A) 2. I-SCAN(A)

Cost: 20

	Logical M-Exps	Physical M-Exps
Output: [B]	1. GET(B)	1. F-SCAN(B) 2. I-SCAN(B)

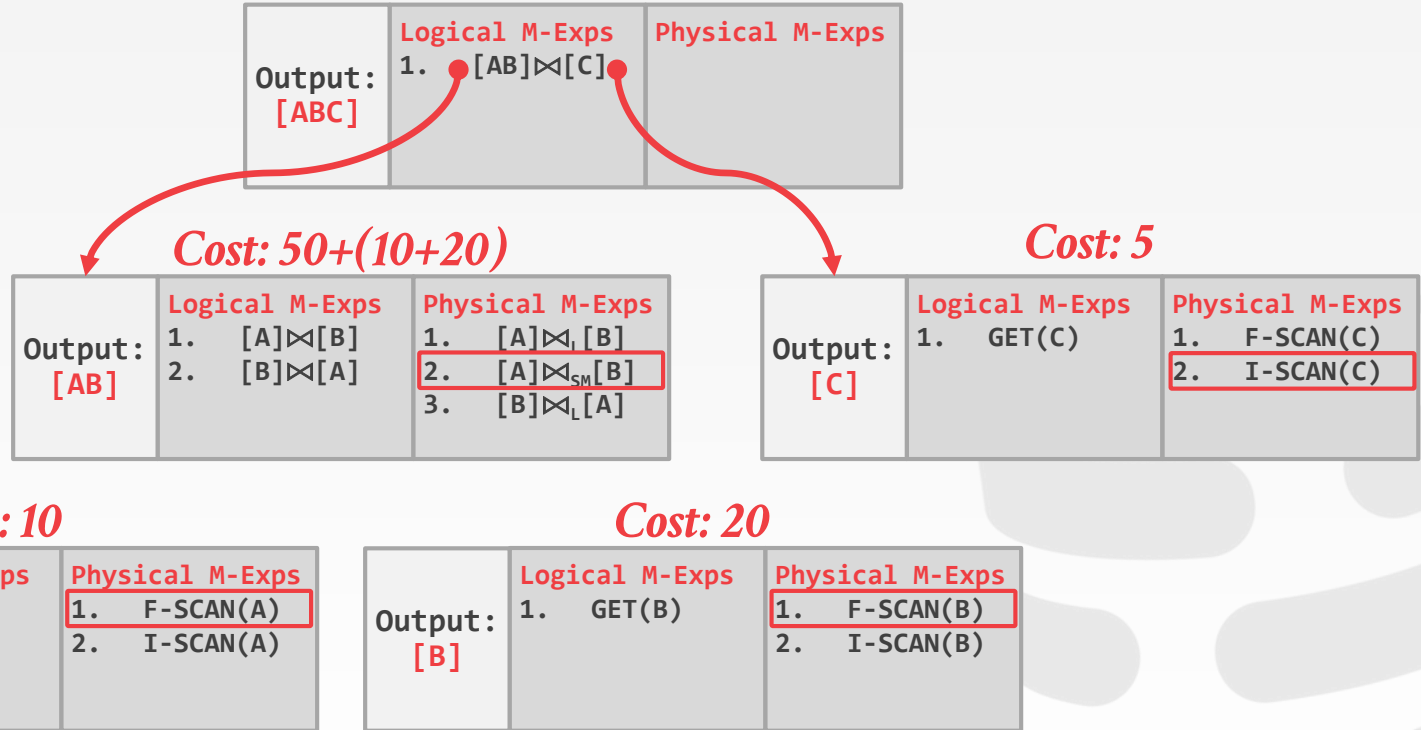
CASCADES – MEMO TABLE

	Winner
[ABC]	
[AB]	$[A] \bowtie_{SM} [B]$
[A]	F-SCAN(A)
[B]	F-SCAN(B)
[C]	



CASCADES – MEMO TABLE

	Winner
[ABC]	
[AB]	$[A] \bowtie_{SM} [B]$
[A]	F-SCAN(A)
[B]	F-SCAN(B)
[C]	I-SCAN(C)



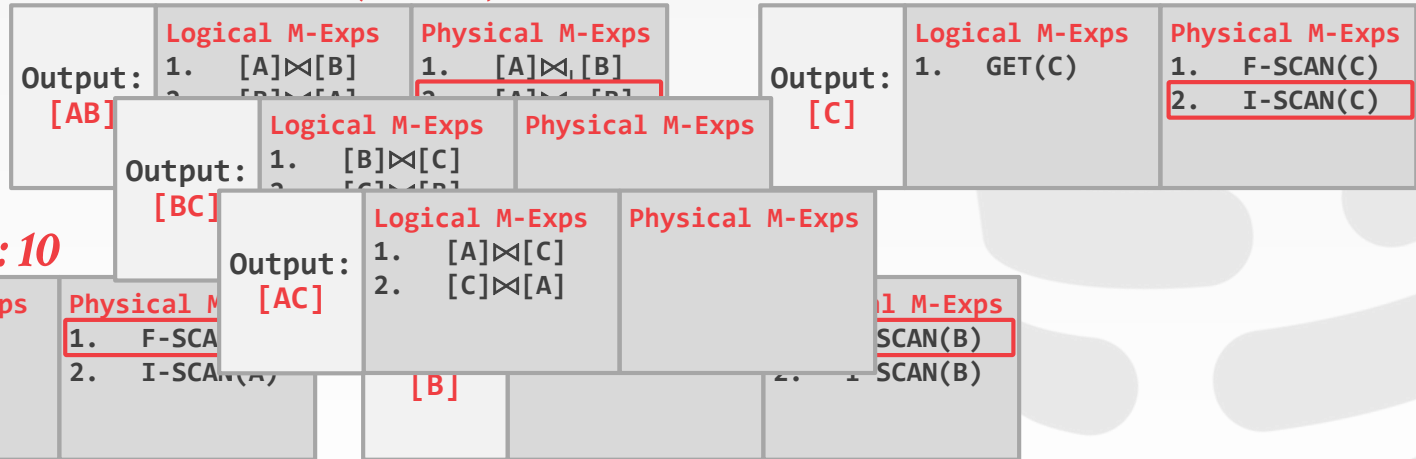
CASCADES – MEMO TABLE

	Winner
[ABC]	
[AB]	$[A] \bowtie_{SM} [B]$
[A]	F-SCAN(A)
[B]	F-SCAN(B)
[C]	I-SCAN(C)

	Logical M-Exps	Physical M-Exps
Output: [ABC]	1. $[AB] \bowtie [C]$ 2. $[BC] \bowtie [A]$ 3. $[AC] \bowtie [B]$ 4. $[B] \bowtie [AC]$	1. $[AB] \bowtie_L C$ 2. $[BC] \bowtie_L A$ 3. $[AC] \bowtie_L B$:

Cost: 50+(10+20)

Cost: 5



SEARCH TERMINATION

Approach #1: Wall-clock Time

→ Stop after the optimizer runs for some length of time.

Approach #2: Cost Threshold

→ Stop when the optimizer finds a plan that has a lower cost than some threshold.

Approach #3: Transformation Exhaustion

→ Stop when there are no more ways to transform the target plan. Usually done per group.

CASCADES IMPLEMENTATIONS

Standalone:

- Wisconsin OPT++ (1990s)
- Portland State Columbia (1990s)
- Pivotal Orca (2010s)
- Apache Calcite (2010s)

Integrated:

- Microsoft SQL Server (1990s)
- Tandem NonStop SQL (1990s)
- Clustrix (2000s)
- CMU Peloton (2010s)

PREDICATE EXPRESSIONS

Predicates are defined as part of each operator.

- These are typically represented as an AST.
- Postgres implements them as flatten lists.

The same logical operator can be represented in multiple physical operators using variations of the same expression.

PREDICATE PUSHDOWN

Approach #1: Logical Transformation

- Like any other transformation rule in Cascades.
- Can use cost-model to determine benefit.

Approach #2: Rewrite Phase

- Perform pushdown before starting search using an initial rewrite phase. Tricky to support complex predicates.

Approach #3: Late Binding

- Perform pushdown after generating optimal plan in Cascades. Will likely produce a bad plan.

PREDICATE MIGRATION

Observation: Not all predicates cost the same to evaluate on tuples.

```
SELECT * FROM foo
WHERE foo.id = 1234
      AND SHA_512(foo.val) = '...'
```

The optimizer should consider selectivity and computation cost when determining the evaluation order of predicates.



PREDICATE MIGRATION: OPTIMIZING QUERIES
WITH EXPENSIVE PREDICATES
SIGMOD 1993

PIVOTAL ORCA

Standalone Cascades implementation.

- Originally written for Greenplum.
- Extended to support HAWQ.

A DBMS can use Orca by implementing API to send catalog + stats + logical plans and then retrieve physical plans.

Supports multi-threaded search.



ORCA: A MODULAR QUERY OPTIMIZER
ARCHITECTURE FOR BIG DATA
SIGMOD 2014

ORCA – ENGINEERING

Issue #1: Remote Debugging

- Automatically dump the state of the optimizer (with inputs) whenever an error occurs.
- The dump is enough to put the optimizer back in the exact same state later on for further debugging.

Issue #2: Optimizer Accuracy

- Automatically check whether the ordering of the estimate cost of two plans matches their actual execution cost.

MEMSQL OPTIMIZER

Rewriter

- Logical-to-logical transformations with access to the cost-model.

Enumerator

- Logical-to-physical transformations.
- Mostly join ordering.

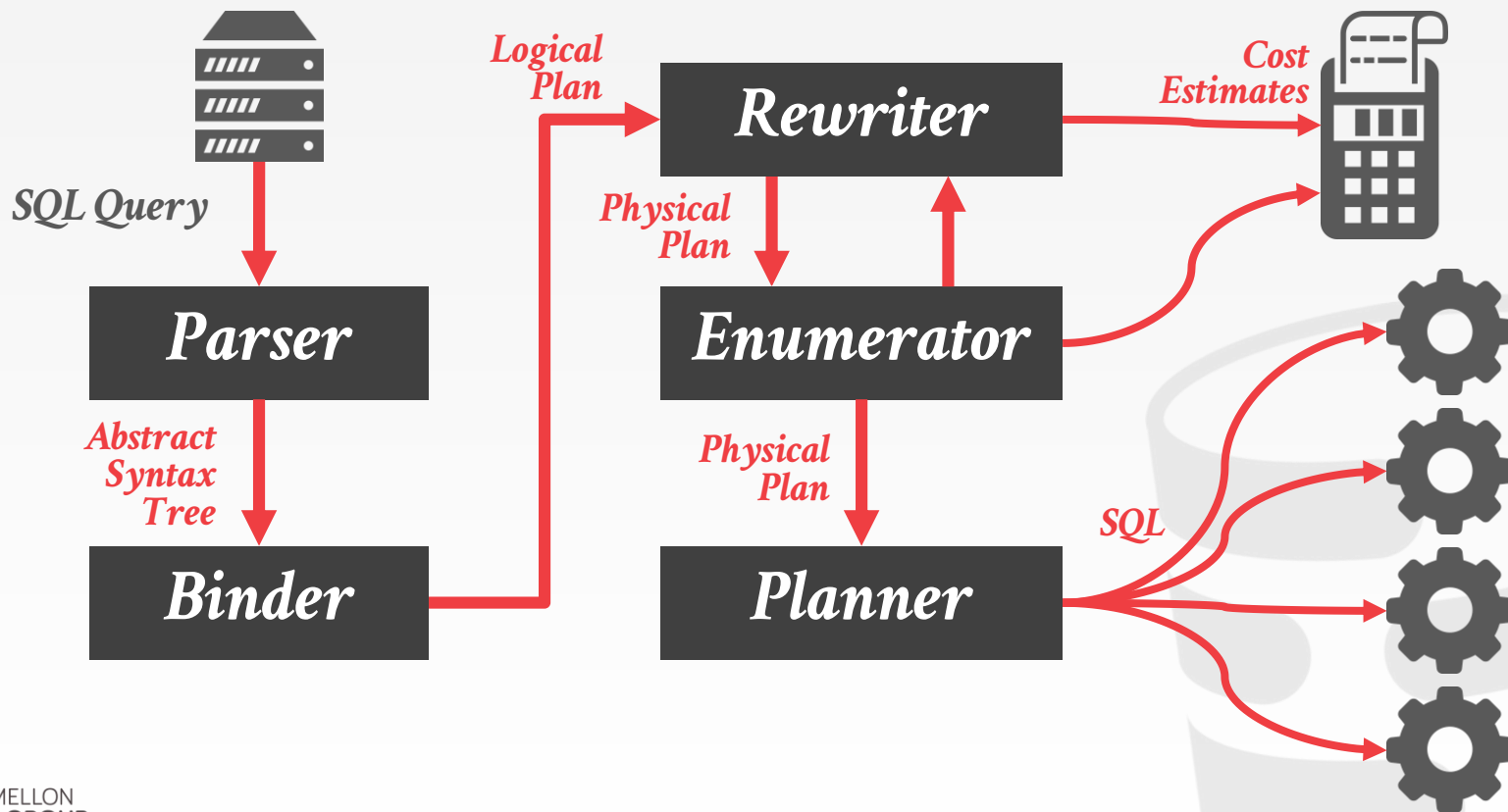
Planner

- Convert physical plans back to SQL.
- Contains MemSQL-specific commands for moving data.



THE MEMSQL QUERY OPTIMIZER
VLDB 2017

MEMSQL OPTIMIZER OVERVIEW



PARTING THOUGHTS

“Query optimization is not rocket science. When you flunk out of query optimization, we make you go build rockets.” – *David DeWitt*

The research literature suggests that there is no difference in quality between bottom-up vs. top-down search strategies.

All of this hinges on a good cost model.
A good cost model needs good statistics.



Joe Hellerstein

@joe_hellerstein

Following



Surprising comment from Graefe on query optimization: use dynamic prog for joins, cascades for extensibility operators.

11:14 AM - 18 May 2017

4 Retweets 14 Likes



1



4



14



Siva Narayanan @K2_181 · 18 May 2017



Replying to @joe_hellerstein

can you elaborate?



1



Joe Hellerstein @joe_hellerstein · 18 May 2017



He said that there's a paper (maybe Thomas neumann?) showing dp more efficient than cascades for join enumeration.



1



EXTRA CREDIT

Each student can earn extra credit if they write a encyclopedia article about a DBMS.

→ Can be academic/commercial, active/historical.

Each article will use a standard taxonomy.

→ For each feature category, you select pre-defined options for your DBMS.

→ You will then need to provide a summary paragraph with citations for that category.

Greenplum

Greenplum database is an open source data warehouse. It uses massive parallel processing technique to provide large-scale analytics on petabyte scale data volumes. It is powered by an advanced cost-based cascade framework query optimizer to achieve fast analytical query execution.

History

Greenplum is found in September 2003 by Luke Loneragan and Scott Yara. The company releases the database management system software based on PostgreSQL in 2005. The company is acquired by EMC in 2010, and its database management system is known as Pivotal Greenplum Database. The company became part of the Pivotal Software in 2012.

Supported languages

C, Other, Perl, PL/SQL, Python

Checkpoints

Non-Blocking Consistent

Greenplum performs checkpoint in the same way as Postgres.

Concurrency Control

Multiversion Concurrency Control (MVCC)

Greenplum uses PostgreSQL MVCC as the concurrency control scheme for each database instances. Each transaction reads from a consistent snapshot that's not modified by any concurrent transactions. MVCC generally performs better than lock-based concurrency control in Greenplum because transactions performing read will not block transactions updating the table.

Data Model

Relational

Greenplum is a relational database. It is implemented based on PostgreSQL

Foreign Keys

Supported

Greenplum supports all features in SQL1992 standard, users can define foreign keys in Greenplum and it will be stored in the system catalog.



Developer

Scott Yara and Luke Loneragan

Start Year

2003

End Year

2017

Derived From

PostgreSQL

Operating Systems

Linux

Revision #5 | Last updated 03/23/2018 4:24 p.m.

IT

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Checkpoints

Non-Blocking Consistent

Greenplum performs checkpoints

Concurrency Control

Multiversion Concurrency Control

Greenplum uses PostgreSQL reads from a consistent snapshot better than lock-based concurrency transactions updating the

Data Model

Relational

Greenplum is a relational

Foreign Keys

Supported

Greenplum supports all stored in the system

Edit Database System

Name

Greenplum

Url

http://greenplum.org

Developer

Scott Yara and Luke Loneragan

Tech docs

Tech docs

Project Type

Academic
Commercial
Mixed
Open Source

Description

Greenplum database is an open source data warehouse. It uses massive parallel processing technique to provide large-scale analytics on petabyte scale data volumes. It is powered by an advanced cost-based cascade framework query optimizer to achieve fast analytical query execution.

This field support Markdown Syntax

Description citations

http://greenplum.org x https://en.wikipedia.org/wiki/GreenplumTechnology x

Separate the urls with commas

Logo

Currently: logos/greenplum-logo-horizontal.png Clear

Change: Browse... No file selected.

Licenses

AGPL v3
Apache v2
BSD
GPL v3

Operating Systems

FreeBSD
HP-UX
Hosted
illumos
Linux

Supported Languages

.Net
Actionscript
Bash
C

Written in

.Net
Actionscript
Bash
C

Publications

Start year

2003

End year

2017

Start year citations

https://en.wikipedia.org/wiki/Greenplum x

Separate the urls with commas

End year citations

http://gpdb.decs.pivotal.io/43130/common/welcome.html#topic1_section_gpdb_en x

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Systems Derived From

Pervasive SQL
pladb
PipelineDB
Pivotal

Greenplum

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History

Greenplum is found in September 2001 as a management system software by Greenplum database management system is a software in 2012.

Supported language

C, Other, Perl, PL/SQL, Python

Checkpoints

Non-Blocking Consistent

Greenplum performs checkpoints

Concurrency Control

Multi-Version Concurrency Control

Greenplum uses PostgreSQL reads from a consistent snapshot better than lock-based control transactions updating the table.

Data Model

Relational

Greenplum is a relational database.

Foreign Keys

Supported

Greenplum supports all foreign keys stored in the system catalog.

Database of Databases

Create Database

Edit Database System

Name

Greenplum

Url

<http://greenplum.org>

Developer

Scott Yara and Luke Lonergan

Tech docs

Tech docs

Project Type

Academic
Commercial
Mixed
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Description

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Logo

Currently: [logos/greenplum-logo-horizontal.png](https://greenplum.org/logo-horizontal.png) Clear

Change: Browse... No file selected.

Licenses

AGPL v3
Apache v2
BSD
GPL v3

Operating Systems

FreeBSD
HP-UX
Hosted
Ilumios
Linux

Publications

Database of Databases

Create Database

Refine by

Checkpoints

☐ Blocking
☐ Consistent
☐ Fuzzy
☐ Non-Blocking
Show more

Concurrency Control

☒ Multi-Version Concurrency Control (MVCC)
☐ Deterministic Concurrency Control
☐ Multi-Version Concurrency Control (MVCC) / Copy-On-Write
Not Supported
Show more

Data Model

☒ Relational
☐ Column Family
☐ Document / XML
☐ Key/Value
Show more

Foreign Keys

☐ Not Supported
☐ Supported

Indexes

☐ B+ Tree
☐ B* Tree
☐ Bimap
☐ Bw-Tree
Show more

Isolation Levels

☐ Not Supported
☐ Read Committed
☐ Read Uncommitted
☐ Repeatable Read
Show more

Joins

☐ Broadcast
☐ Hash
☐ Limited Support
☐ Nested Loop
Show more

Logging

☐ Command Logging
☐ Logical Logging
☐ Other
☐ Physical Logging
Show more

Query Compilation

Advanced Search

Begin searching!

Search

CockroachDB

<http://dbdb.devpreviews.com/db/cockroachdb>

CockroachDB is a scalable, fault-tolerant, SQL database built on a transactional and strongly-consistent key-value store. It is backed by RocksDB and uses distributed consensus algorithm to ensure consistency. It is inspired by Spanner wait commit to implement serializable. It is currently in beta. (Because CockroachDB is rapidly changing, so many findings are based on design document, outdated documentation or available code.)

Greenplum

<http://dbdb.devpreviews.com/db/greenplum>

Greenplum database is an open source data warehouse. It uses massive parallel processing technique to provide large-scale analytics on petabyte scale data volumes. It is powered by an advanced cost-based cascade framework query optimizer to achieve fast analytical query execution.

Hekaton

<http://dbdb.devpreviews.com/db/hekaton>

Hekaton is a memory-optimized OLTP engine integrated in SQL Server 2014 and is also known as The In-Memory OLTP. Hekaton allows a table to be stored and resides in main memory and can be queried in the same way as disk-based SQL Server tables. Hekaton mainly improves its performance on many-core CPUs by improving scalability and reducing locks. Native compilation process which...

HyPer

<http://dbdb.devpreviews.com/db/hyper>

The HyPer DBMS is an in-memory database which aims to achieve high performance for both OLTP and OLAP workload; it creates a consistent snapshot of the transactional data by forking the OLTP process, so that the OLAP queries could operate on the consistent virtual memory snapshot. Besides, the HyPer DB group proposed a serializable Multi-Version Concurrency Control (MVCC) model which could provide full serializability isolation. Furthermore, they designed the Adaptive Radix Tree (ART) which...

MemSQL

<http://dbdb.devpreviews.com/db/memsql>

MemSQL is a distributed in-memory relational database with high performance on both transactional and analytical workload, well-integrated with Spark & Kafka for real-time analysis.

PostgreSQL

<http://dbdb.devpreviews.com/db/postgresql>

PostgreSQL is an object-relational database based on POSTGRES, developed from University of California at Berkeley. It's ACID-compliant and supports materialized view, stored functions, triggers as well as foreign keys. PostgreSQL is a free and open-source software under the PostgreSQL License. It's currently maintained by a group of companies as well as individual contributors.

TimescaleDB

<http://dbdb.devpreviews.com/db/timescaledb>

TimescaleDB is an open-source SQL database designed for scalable time-series data. It enables both high ingest rates and real-time analysis queries. It scales by automatically partitioning Hypertable (a single continuous table) into two-dimensional (time and space) proper-sized chunks. Inserts to recent time intervals can be parallelized by placing

Systems Derived From

Pervasive SQL
pladb
PipelineDB
PILUTS

DBDB.IO

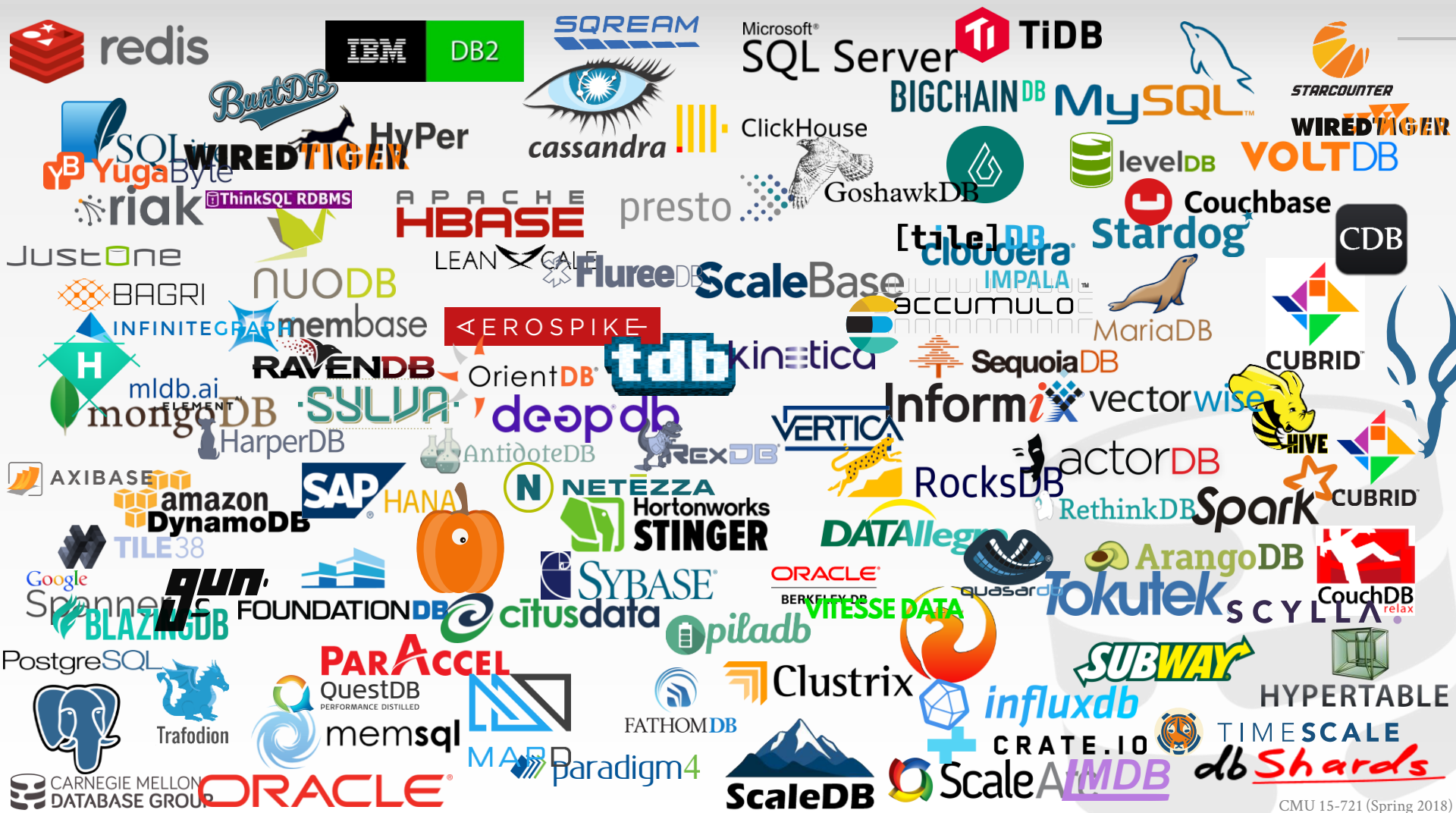
All the articles will be hosted on our new website (currently under development).

→ I will post the user/pass on Piazza.

I will post a sign-up sheet for you to pick what DBMS you want to write about.

→ If you choose a widely known DBMS, then the article will need to be comprehensive.

→ If you choose an obscure DBMS, then you will have to do the best you can to find information.



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NEXT CLASS

Cost Models

Working in a large code base

