

Database Networking Protocols

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Andy Pavlo CMU 15-721 Spring 2024 Carnegie Mellon University

LAST CLASS

How to inline user-defined functions into a query so that the DBMS's optimizer can understand its behavior and intention.

 \rightarrow Pushing application logic into the DBMS.

TODAY'S AGENDA

Database Access APIs Database Network Protocols Kernel/User Bypass Methods Client-side Optimizations

DATABASE ACCESS

All the demos in the class have been through a terminal client.

- \rightarrow SQL queries are written by hand.
- \rightarrow Results are printed to the terminal.

Real programs access a database through an API:

- → Direct Access (DBMS-specific)
- \rightarrow <u>Open Database Connectivity</u> (ODBC)
- \rightarrow Java Database Connectivity (JDBC)
- \rightarrow <u>Python PEP-0249</u>
- \rightarrow HTTP / REST (DBMS-specific)



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OPEN DATABASE CONNECTIVITY

Standard API for accessing a DBMS. Designed to be independent of the DBMS and OS.

Originally developed in the early 1990s by Microsoft and <u>Simba Technologies</u>.

Every major DBMS has an ODBC implementation.

OPEN DATABASE CONNECTIVITY

ODBC is based on the <u>device driver</u> model. The <u>driver</u> encapsulates the logic needed to convert a standard set of commands into the DBMS-specific calls.





JAVA DATABASE CONNECTIVITY

Developed by Sun Microsystems in 1997 to provide a standard API for connecting a Java program with a DBMS.

 \rightarrow JDBC can be considered a version of ODBC for the programming language Java instead of C.

JDBC supports different client-side configurations because there may not be a native Java driver for each DBMS.



JAVA DATABASE CONNECTIVITY

Approach #1: JDBC-ODBC Bridge **(***Removed in 2014*)

 \rightarrow Convert JDBC method calls into ODBC function calls.

Approach #2: Native-API Driver

 \rightarrow Convert JDBC method calls into native calls (via <u>JNI</u>) of the target DBMS API.

Approach #3: Network-Protocol Driver

→ Driver connects to a middleware in a separate process that converts JDBC calls into a vendor-specific DBMS protocol.

Approach #4: Database-Protocol Driver + Best Approach

 \rightarrow Pure Java implementation that converts JDBC calls directly into a vendor-specific DBMS protocol.

DATABASE NETWORKING PROTOCOLS

All major DBMSs implement their own proprietary client wire protocol over TCP/IP.

- \rightarrow Use <u>Unix domain sockets</u> if running on same box as app.
- \rightarrow Andy doesn't know of any DBMS using UDP for clients.
- A typical client/server interaction:
- \rightarrow Client connects to DBMS and begins authentication process. There may be an SSL/TLS handshake.
- \rightarrow Client then sends a query.
- \rightarrow DBMS executes the query, then serializes the results and sends it back to the client.

EXISTING PROTOCOLS

Most newer systems implement one of the opensource DBMS wire protocols. This allows them to reuse the client drivers without having to develop and support them.

Just because on DBMS "speaks" another DBMS's wire protocol does not mean that it is compatible.
→ Need to also support catalogs, SQL dialect, and other functionality.

EXISTING PROTOCOLS



PROTOCOL DESIGN SPACE

Row vs. Column Layout Compression Data Serialization String Handling



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ROW VS. COLUMN LAYOUT

ODBC/JDBC are row-oriented APIs.

- → Server packages tuples into messages one tuple at a time.
- \rightarrow Client deserializes data one tuple at a time.

But switching to a column-oriented API is a bad too because client may access multiple columns for a tuple.

Solution: Vector-oriented API

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```
String sql = "SELECT * FROM xxx";
Statement stmt = conn.createStatement();
ResultSet rs = stmt.executeQuery(sql);
while (rs.next()) {
    // Do something magical row by row!
    rs.getInt(1);
    rs.getString(2);
    rs.getDate(3);
}
stmt.close();
```



♠ > Specifications > ADBC: Arrow..

ARROW

ADBC: Arrow Database Connectivity

Full Documentation on ADBC can be found at https://arrow.apache.org/adbc/.

Rationale

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The Arrow ecosystem lacks standard database interfaces built around Arrow data, especially for efficiently fetching large datasets (i.e. with minimal or no serialization and copying). Without a common API, the end result is a mix of custom protocols (e.g. BigQuery, Snowflake) and adapters (e.g. Turbodbc) scattered across languages. Consumers must laboriously wrap individual systems (as DBI is contemplating and Trino does with connectors).

ADBC aims to provide a minimal database client API standard, based on Arrow, for C, Go, and Java (with bindings for other languages). Applications code to this API standard (in much the same way as they would with JDBC or ODBC), but fetch result sets in Arrow format (e.g. via the C Data Interface). They then link to an implementation of the standard: either directly to a vendor-supplied driver for a particular database, or to a driver manager that abstracts across multiple drivers. Drivers implement the standard using a database-specific API, such as Flight SQL.

Goals

- Provide a cross-language, Arrow-based API to standardize how clients submit queries to and fetch Arrow data from
- Support both SQL dialects and the emergent Substrait standard.
- Support explicitly partitioned/distributed result sets to work better with contemporary distributed systems. Allow for a variety of implementations to maximize reach.

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COMPRESSION

Approach #1: Naïve Compression

- \rightarrow DBMS applies a general-purpose compression algo (lz4, gzip, zstd) on message chunks before transmitting.
- → Examples: <u>Oracle</u>, <u>MySQL</u>, <u>Snowflake</u>, <u>BigQuery</u>

Approach #2: Columnar-Specific Encoding

- \rightarrow Analyze results and choose a specific compression encoding (dictionary, RLE, delta) per column.
- \rightarrow No system implements this except with Arrow ADBC.

Heavyweight compression is better when network is slow. DBMS achieves better compression ratios for larger message chunk sizes.

DATA SERIALIZATION

Approach #1: Binary Encoding

- \rightarrow Client handles endian conversion.
- \rightarrow The closer the serialized format is to the DBMS's binary format, then the lower the overhead to serialize.
- → DBMS can implement its own format or rely on existing libraries (<u>ProtoBuffers</u>, <u>Thrift</u>, <u>FlatBuffers</u>).

ProfaneDB

Approach #2: Text Encoding

- \rightarrow Convert all binary values into strings (<u>ato</u>i).
- \rightarrow Do not have to worry about endianness.
- \rightarrow Missing values encoded as string "NULL"





STRING HANDLING

Approach #1: Null Termination

- \rightarrow Store a null byte ('\0') to denote the end of a string.
- \rightarrow Client scans the entire string to find end.

Approach #2: Length-Prefixes

 \rightarrow Add the length of the string at the beginning of the bytes.

Approach #3: Fixed Width

 \rightarrow Pad every string to be the max size of that attribute.





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Source: Hannes Mühleisen

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OBSERVATION

The DBMS's network protocol implementation is not the only source of slowdown.

The OS's TCP/IP stack is slow...

- \rightarrow Expensive context switches / interrupts
- \rightarrow Data copying
- \rightarrow Lots of latches in the kernel

How to avoid the OS entirely or work with it to make our DBMS run faster.



KERNEL-BYPASS METHODS

Allows the system to get data directly from the NIC into the DBMS address space.

- \rightarrow No unnecessary data copying.
- \rightarrow No OS TCP/IP stack.

Approach #1: Data Plane Development Kit Approach #2: Remote Direct Memory Access Approach #3: io_uring



DATA PLANE DEVELOPMENT KIT (DPDK)

Set of <u>libraries</u> that allows programs to access NIC directly. Treat the NIC as a bare metal device.

Requires the DBMS code to do more to manage network stack (layers 3+4), memory, and buffers.

- \rightarrow Reimplement TCP/IP in usercode (e.g., <u>F-Stack</u>).
- \rightarrow No data copying.
- \rightarrow No system calls.

Example: ScyllaDB's Seastar, Yellowbrick's ybRPC



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REMOTE DIRECT MEMORY ACCESS

Read and write memory directly on a remote host without going through OS.

- → The client needs to know the correct address of the data that it wants to access.
- → The server is unaware that memory is being accessed remotely (i.e., no callbacks).
- \rightarrow <u>InfiniBand</u> vs. <u>RoCE</u>

Examples: Oracle Exadata, Microsoft FaRM



IO_URING

Linux system call interface for zero-copy asynchronous I/O operations.

- \rightarrow Originally added in <u>2019</u> for accessing storage devices.
- \rightarrow Expanded in <u>2022</u> to support network devices.
- \rightarrow Windows has something similar called <u>ICOP</u>.

OS exposes two circular buffers (queues) to store submission and completion I/O requests.

- → DBMS submits requests for the kernel to perform read/write operations to DBMS-provided buffers.
- \rightarrow When OS completes request, it puts the event on the competition queue and invokes callback.



Importing 300k rows/sec with io_uring

September 12, 2022 · 13 min read



Andrey Pechkurov QuestDB Engineering

In this blog post, QuestDB's very own Andrei Pechkurov presents how to ingest large CSV files a lot more efficiently using the SQL COPY statement, and takes us through the journey of benchmarking. Andrei also shares insights about how the new improvement is made possible by io_uring and compares QuestDB's import versus several wellknown OLAP and time-series databases in Clickhouse's ClickBench benchmark

Introduction

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As an open source time series database company, we understand that getting your existing data into the database in a fast and convenient manner is as important as being able to ingest and query your data efficiently later on. That's why we decided to dedicate our new release, QuestDB 6.5, to the new parallel CSV file import feature. In this blog post, we discuss what parallel import means for our users and how it's implemented internally. As a bonus, we also share how recent ClickHouse team's benchmark helped us to improve both QuestDB and its demonstrated results

How ClickBench helped us improve

Recently ClickHouse conducted a benchmark for their own database and many others, including QuestDB. The benchmark included data import as the first step. Since we were in the process of building a faster import, this benchmark provided us with nice test data and baseline results. So, what have we achieved? Let's find out. The benchmark was using QuestDB's HTTP import endpoint to ingest the data into an existing non-partitioned table. You may wonder why it doesn't use a partitioned table, which stores the data sorted by the timestamp values and provides many benefits for time series analysis. Most likely, the reason is terrible import execution time. Both HTTP-based

for zero-copy ons.

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A Programmer-Friendly I/O Abstraction Over io_uring and kqueue

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By 📢 King Butcher and 🚳 Phil Eaton on Nov 23, 2022

Docs

Consider this tale of I/O and performance. We'll start with blocking I/O, explore io_uring and kqueue, and take home an event loop very similar to some software you may find familiar.

This is a twist on King's talk at Software You Can Love Milan '22.

Classical approach

TigerBeetle

When you want to read from a file you might open() and then call read() as many times as necessary to fill a buffer of bytes from the file. And In the opposite direction, you call write() as many times as needed until everything is written. It's similar for a TCP client with sockets, but instead of open() you first call socket() and then connect() to your server. Fun stuff.

In the real world though you can't always read everything you want immediately from a file descriptor. Nor can you always write everything you want immediately to a file descriptor.

You can switch a file descriptor into non-blocking mode so the call won't block while data you requested is not available. But system calls are still expensive, incurring context switches and cache misses. In fact, networks and disks have become so fast that these costs can start to approach the cost of doing the I/O itself. For the duration of time a file descriptor is unable to read or write, you don't want to waste time continuously retrying read or write system calls.

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Engineering

A journey to io_uring, AIO and modern storage devices

Ruslan Savchenko

While main memory is considered to be rather cheap by some systems designers it is not always possible to store everything in the main memory. When data is stored in external memory one has to think carefully how to access the data. There are several kind of storage devices and more than one system call to read from them. We performed experiments to find out how different Linux system calls perform for available devices. In total HDD, SATA SSD, NVMe SSD, and Intel Optane were accessed via single-threaded and multi-threaded pread, Linux aio, and new io_uring interfaces. Full report is available in PDF format: link. We give one section from the report as an example.

Single Random Read

External memory devices are block devices which means data transfer between a device and a host is done in blocks rather than single bytes. Typically 512 bytes or 4 kilobytes blocks are used. These block sizes have been chosen by manufactures long time ago and may be not the best choice for modern devices. By requesing larger amount of contigious data we can emulate larger block size. Let's find out how modern devices perform with larger blocks.

Our goal is to pick the best block size for a random read. An application (or filesystem) can pick any block size and access data with respect to this block size. We vary block size from 4 kilobytes up to 32 megabytes. For each block size we make some random reads. Among these reads we calculate average, minimum and maximum latency as well as 99,0 and 99,9 percentiles. We use system call pread(2) in this experiment. We believe that Iseek(2) followed



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alexey-milovidov on Sep 16, 2022 • edited 👻

T TigerBeetle

There was an experiment adding uring by @sauliusvl Unfortunately, it proves to be unsustainable.

There is only a marginal improvement in performance. But the code becomes way more complicated. It became so complicated that even an experienced C++ engineer (the author of the code) cannot figure out why there are rare hangs of queries (found by our automated testing before the release).

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Get Started

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I/O BOTTLENECKS

I/O devices (network, disk) are faster.

OS logic has also gotten faster.

Max Achievable Throughput:
42Gbps per CPU core
→ A 2021 study found that over 50% of CPU cycles are spent on memcpy



Source: Matt Butrovich **ECMU-DB** 15-721 (Spring 2024)

USER-BYPASS METHODS

- Instead of pulling DBMS data into user-space, push DBMS logic down into kernel-space.
- → Avoids copying buffers, scheduling user threads, and system call overhead.

Only useful for parts of the DBMS that operate on I/Os that the system does not retain for long periods of time.



Source: Matt Butrovich SCMU·DB 15-721 (Spring 2024)

USER-BYPASS METHODS

Execute DBMS logic inside of the OS kernel via <u>extended-Berkeley Packet</u> <u>Filters</u> (eBPF) to avoid having to communicate with user-space code.

Dynamically load safe, event-driven programs in kernel-space.

- \rightarrow Write in C and compile to eBPF
- → Programming model is limited (no malloc, restricted # of instructions).





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Source: Matt Butrovich

SECMU.DB

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OBSERVATION

It's great that we optimized the DBMS's server-side networking stack and the DBMS wire protocol. But what about optimizing the client-side when it receives data from the DBMS?



CONNECTORX

<u>ConnectorX</u> is a client-side library that provides fast and memoryefficient loading of data from a DBMS into Dataframes. \rightarrow Integrated in Polars.

Divides data into chunks to allow multiple threads to populate Dataframe arrays in parallel.



CONNECTORX: ACCELERATING DATA LOADING FROM DATABASES TO DATAFRAMES VLDB 2022



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PARTING THOUGHTS

A DBMS's networking protocol is an oftenoverlooked bottleneck for performance.

Kernel bypass methods greatly improve performance but require more bookkeeping. \rightarrow Probably more useful for internal DBMS communication.

User bypass is an interesting direction for ephemeral I/Os in DBMSs.

NEXT CLASS

Query Optimization for the next two weeks. \rightarrow I will update reading list tonight!